

# GREATER SHEPPARTON CITY COUNCIL COMPLAINTS POLICY

Effective: Day August 2025



#### **COMPLAINTS POLICY**

Version:	2	
Business Unit:	Corporate Governance	
Responsible Officer:	Manager Corporate Governance	
Adopted By:	Council on Day August 2025	
Next Review:	Day August 2029	

#### 1. PURPOSE

This policy has been developed to assist customers to make a complaint to the Council, and to ensure the complaint is handled in a fair and transparent manner.

This policy outlines the process which will be followed to manage any complaint received by Council. It also facilitates an independent review process for any action, decision or service in respect of which the complaint is made.

#### 2. OBJECTIVE

Council accepts complaints as an important part of community feedback and use this information to improve our service to the community.

Through adherence to this policy, members of our community including children, Council staff and Councillors can gain a better understanding of Council's complaint handling process and expect that all complaints will be investigated in a consistent manner.

# 3. SCOPE

The policy is applicable to the following scenarios:

- A complaint made by a member of the public about a member of Council staff, a Councillor or a contractor engaged by the Council.
- A complaint made by a Councillor against a staff member or contractor engaged by the Council.
- A complaint made by a staff member against a Councillor.

This policy does not apply to complaints which are to be handled in accordance with legislation or regulatory processes that provide specific mechanisms for appeal. This may include, but is not limited to the following complaint types:

- · Complaints relating to planning matters,
- · Complaints relating to parking infringements,
- · Complaints relating to building, health and food services,
- Complaints alleging fraud, corrupt conduct or other criminal behaviour,
- · Freedom of information requests, and
- Work related grievances from council staff (i.e. complaints relating to their employment).

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# 4. **DEFINITIONS**

Reference term	Definition	
CEO	Chief Executive Officer of Greater Shepparton City Council	
Child/Children	A person under 18 years of age	
Complaint	An expression of dissatisfaction with	
	The quality of an action taken, decision made, or service provided by a member of Council staff or a contractor engaged by the Council;	
	A delay by a member of Council staff or a contractor engaged by Council in taking an action, making a decision or providing a service; or	
	A policy or decision made by Council or a member of Council staff or a contractor.	
Council	Refers to the Greater Shepparton City Council	
Councillor	Refers to a current elected Councillor of the Greater Shepparton City Council.	
CRM	Refers to Councils Customer Relationship Module	
Independent Person	A Council Officer (or in certain circumstances, the CEO or Mayor) who is responsible for investigating a complaint to which he or she has not been involved in:	
	taking the action,	
	making the decision; or	
	providing the service,	
	which is the subject of the complaint.	
Mayor	Refers to the current elected Mayor of the Greater Shepparton City Council.	
Request for service	Contact with the Council to seek assistance, access to a new service, advice or to inform / make a report about something for which Council has responsibility.	

# 5. POLICY

# 5.1 What constitutes a complaint?

A complaint is the expression of dissatisfaction with a service provided by Council, which may include the level, quality or timeliness of the service provided, or perhaps the behaviour of an employee, a Councillor or a contractor.

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Within the scope of this policy, the following situations do not constitute a complaint and should be reported directly to Council's Customer Services Team:

- A request for service,
- A request for information or documentation,
- Reports of hazards, damaged or faulty infrastructure,
- Reports concerning neighbours, noise, nuisance animals, unauthorised building works and other regulatory aspects of our service,
- Claims for compensation, and
- Statutory complaint processes decisions made under legislation which provide for separate appeal processes.

A complaint will only be accepted and investigated if the complainant is personally affected by the issue or has the authority and consent to complain on another person's behalf (egadvocate, family member, legal or community representative, member of parliament, another organisation).

#### 5.2 How to lodge a complaint

Complaints can be submitted to Council through the following methods;

Telephone	(03) 5832 9700 Business Hours: 8.15 am-5.00 pm Monday to Friday.		
National Relay Service	<ol> <li>Contact us through the <u>National Relay Service</u> call number. For TTY users, this is 133 677 (<u>Visit the NRS website</u> for a list of all the numbers and access points you need for making a relay call.)</li> <li>Ask for the number you want to call: Council's number is (03) 5832 9700</li> </ol>		
Multilingual Information Line Services	3	1300 645 463 1300 645 463	

Email	Council@shepparton.vic.gov.au	
Online	Contact Council - Greater Shepparton City Council	
Mail	Locked Bag 1000 SHEPPARTON VIC 3632	
In Person or Hand Delivered	90 Welsford Street, Shepparton VIC 3630 Business Hours: 9.00 am-4.00 pm Monday to Friday.	

Complaints lodged are encouraged to include the below listed return contact details for the complainant.

- Name
- Postal Address
- Phone Number
- Email Address (if preferred method of contact)

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Details of complaint including dates and times if relevant

Anonymous complaints will be accepted and investigated if there is sufficient information provided to do so. Anonymous complainants need to be aware that should they wish to remain anonymous they will not be advised of the progress or outcome of a matter.

## 5.3 Who should your complaint be addressed to?

If your complaint relates to an operational or staffing matter, please address it to the relevant Manager or Director. If you are unsure of which Directorate your complaint relates to, please contact Council's Customer Service team for clarification via phone: (03) 5832 9700.

- Should your complaint relate to a Director or the Mayor, please address the complaint directly to the CEO,
- Any complaints relating to a Councillor should be addressed to the Mayor with a copy to the CEO, and
- A complaint about the CEO should be directed to the Mayor.

## 5.4 Complaints Management Process

All complaints will be recorded in Council's Request Management Application and will be assigned to the relevant 'Independent Person' for investigation.

Once lodged, your complaint will be thoroughly assessed based on merit and facts before a determination is reached. The time required to investigate each complaint will vary based on the complexity of the matter, however Council will endeavour to reach an outcome within 10 working days.

If your complaint cannot be resolved within 10 working days, an acknowledgement will be sent within this timeframe, advising of the action being taken and the estimated timeframe for a resolution.

Complainants must at all times behave in a respectful and cooperative manner. You may at times be requested to aid the investigation by providing additional information if required. In return, all complainants can be confident that they too will be treated with respect and will be kept informed of the progress of the investigation.

The outcome of each investigation will be communicated to the complainant in writing, however the opportunity to meet with the investigating officer to discuss the matter in person is also available.

Council aims to achieve service excellence across all areas and is committed to resolving all complaints in a fair and timely manner. However, if you are not satisfied with the response provided, the following escalation processes are available to you:

#### a. Request for Internal Review by the Director or CEO

If your complaint is related to an operational / staffing matter and was not resolved by the Manager to your satisfaction, you may request that an internal review be conducted by the relevant Director or, where the initial review was conducted by the Director, you may seek review by the CEO.

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To lodge a review request, you must provide details of why you are dissatisfied with the way your complaint was handled. The relevant Director or CEO will then advise you in writing on the outcome of the review.

#### b. Referral to the Ombudsman of Victoria

The Ombudsman is an independent officer of the Victorian Parliament who investigates complaints about statutory authorities and State and Local Government bodies. More information on how to lodge a complaint with the Ombudsman can be found at <a href="https://www.ombudsman.vic.gov.au">www.ombudsman.vic.gov.au</a> or via phone: 1800 806 314.

Please note that while you are entitled to refer a complaint to the Ombudsman at any time, you are encouraged to allow Council to investigate the matter in the first instance to see whether a resolution can be reached.

## c. Complaints subject to statutory review

Any complaints which relate to a matter subject to a statutory review process, will be addressed in accordance with that statutory process.

In accordance with sections 107(1)(c) and (f) of the Local Government Act 2020, Council may only exercise its discretion to refuse to deal with such a complaint where:

- the complainant has expressed a preference for the complaint to be handled in accordance with this policy instead; and
- the CEO agrees that under the circumstances, it is appropriate to do so.

## d. Complaints relating to a breach of privacy

If you believe that there has been a breach of your privacy by the Council, you may make a complaint to Council's Governance Compliance Officer.

For further information in relation to complaints of this nature, please refer to Council's Privacy Policy.

#### e. Complaints alleging Corrupt Conduct

Where a complaint involves allegations of corrupt conduct of a Council Officer, the matter is to be referred to Council's Public Interest Disclosure Coordinator, or one of the Public Interest Disclosure Officers.

For further information in relation to complaints of this nature, please refer to Council's Public Interest Disclosure Policy.

# f. Complaints relating to a child safety concern

If your complaint involves suspected abuse or harm of a child, concerns about a person's behaviour towards children, or any other child safety concerns, these will be addressed in accordance with the Council's Child Safety and Wellbeing Policy.

The Child Safety and Wellbeing Policy 4.3 Commitment to Child Safety states:

Greater Shepparton City Council has zero tolerance to child abuse or harm. Everyone working at Council is responsible for the care and protection of the

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children within our care and reporting information about suspected child abuse.

All children, regardless of their gender, race, religious beliefs, age, disability, sexual orientation, or family or social background, have equal rights to protection from abuse or harm.

Child protection is a shared responsibility between Council, all employees, workers, contractors, associates, and members of the Greater Shepparton community.

For further information in relation to complaints of this nature, please refer to Council's Child Safety and Wellbeing Policy.

#### 6. RELATED POLICIES AND PROCEDURES

- Fraud and Corruption Control Policy
- Public Transparency Policy
- Child Safety and Wellbeing Policy
- Managing Workplace Performance and Behaviours Operational Policy
- Employee Code of Conduct
- Councillor Code of Conduct
- Public Interest Disclosure Policy
- Privacy Policy

#### 7. RELATED LEGISLATION

- Local Government Act 2020
- Victorian Ombudsman's 'Councils and Complaints' Good Practice Guides
- Public Interest Disclosure Act 2012
- The Victorian Charter of Human Rights and Responsibilities Act 2006
- Privacy and Data Protection Act 2014

#### 8. REVIEW

The Manager Corporate Governance is to review this Policy no later than four years from the date of adoption. All reviews will be undertaken in conjunction with the Executive Team, with proposed amendments presented to Council for consideration.

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## **DOCUMENT REVISIONS**

Version #	Date Adopted	Date Effective
1	16 February 2021	16 February 2021
2	Day August 2025	Day August 2025